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COUNTY COUNCIL
Tuesday, 7th March, 2017

S U P P L E M E N T A R Y P A C K

1.	CAPITAL VIREMENTS REQUIRING COUNCIL APPROVAL – ITEM 6 ON THE MAIN AGENDA	CC31- 2017
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1.1. **Capital Virement for Brecon Cultural Hub (Amended report)**

(Pages 3 - 6)

1.2. **Capital Virement New Build (Additional report)**

(Pages 7 - 10)

2.	REVIEW OF THE CONSTITUTION – ITEM 9 ON THE MAIN AGENDA	CC34- 2017
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Amended cover report and additional Section 7 Scrutiny Committees

(Pages 11 - 54)

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CYNGOR SIR POWYS COUNTY COUNCIL.

COUNCIL
7th March 2017

REPORT AUTHOR: County Councillor Wynne T Jones
Portfolio Holder for Finance

SUBJECT: Capital Virement for Brecon Cultural Hub

REPORT FOR: Decision

Summary

This report requests the following capital virement.

The spend profile for the Brecon Cultural Hub has changed because of delays to the project earlier in the year, due to planning conditions etc. This virement seeks to roll forward £2.3m to 2017/18 to continue with the project. The report does not seek to increase the overall budget. Instead the budget will align with the estimated spend. However this is based on work progressing as per the contractors

Proposal

That £2.3m of the budget is rolled forward to 2017/18.

Options Considered/Available

No alternative options are considered appropriate as a result of this report.

Preferred Choice and Reasons

Approve the virement and continue with the project in 2017/18.

Sustainability and Environmental Issues/Equalities/Crime and Disorder,/Welsh Language/Other Policies etc

N/A

Children and Young People's Impact Statement - Safeguarding and Wellbeing

N/A

Local Member(s)

This report relates to the whole of the County.

Other Front Line Services

N/A

Support Services (Legal, Finance, Corporate Property, HR, ICT, Business Services)

The Capital and Financial Planning Accountant supports this recommendation to roll forward the budget of £2.3m to 2017/18. This project is within the Capital Programme of the authority.

Legal – The recommendation can be supported from a legal point of view

Local Service Board/Partnerships/Stakeholders etc

N/A

Corporate Communications

This report has no specific communication considerations.

Statutory Officers

The Strategic Director, Resources (Section 151 Officer) notes the comments made by Finance.

The Solicitor to the Council (Monitoring Officer) has commented as follows: “ I note the legal comment and have nothing to add to the report

Members’ Interests

The Monitoring Officer is not aware of any specific interests that may arise in relation to this report. If Members have an interest they should declare it at the start of the meeting and complete the relevant notification form.

Recommendation:	Reason for Recommendation:
The Capital Virement to roll forward £2.3m of the Brecon Cultural Hub budget into 2017/18 is approved.	To allow the costs of Service Reform and Transformation to be funded from capital receipts instead of revenue.

Relevant Policy (ies):	Financial Regulations		
Within Policy:	Yes	Within Budget:	Yes

Relevant Local Member(s):	
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Person(s) To Implement Decision:	Dawn Richards
Date By When Decision To Be Implemented:	

Contact Officer Name:	Tel:	Fax:	Email:
Jane Thomas	01597 826341	01597 826290	jane.thomas@powyscc.gov.uk

Background Papers used to prepare Report:

Cabinet Report

C41-2017 Financial Overview and Forecast as at 31st January 2017

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CYNGOR SIR POWYS COUNTY COUNCIL.

COUNCIL
7th March 2017

REPORT AUTHOR: County Councillor Wynne T Jones
Portfolio Holder for Finance

SUBJECT: Capital Virement for Repurchase / New Builds

REPORT FOR: Decision

Summary

This report requests the following capital virement.

The spend profile for the Repurchase/New Build of Council Houses has changed because of delays to the project earlier in the year. This virement seeks to roll forward £850k to 2017/18 to continue with the project. The report does not seek to increase the overall budget. Instead the budget will align with the estimated spend.

Proposal

That £850k of the budget is rolled forward to 2017/18.

Options Considered/Available

No alternative options are considered appropriate as a result of this report.

Preferred Choice and Reasons

Approve the virement and continue with the project in 2017/18.

Sustainability and Environmental Issues/Equalities/Crime and Disorder,/Welsh Language/Other Policies etc

N/A

Children and Young People's Impact Statement - Safeguarding and Wellbeing

N/A

Local Member(s)

This report relates to the whole of the County.

Other Front Line Services

N/A

Support Services (Legal, Finance, Corporate Property, HR, ICT, Business Services)

The Capital and Financial Planning Accountant supports this recommendation to roll forward the budget of £850k to 2017/18. This project is within the Capital Programme of the authority.

Legal – The recommendation can be supported from a legal point of view

Local Service Board/Partnerships/Stakeholders etc

N/A

Corporate Communications

This report has no specific communication considerations.

Statutory Officers

The Strategic Director, Resources (Section 151 Officer) notes the comments made by Finance.

The Solicitor to the Council (Monitoring Officer) has commented as follows: “ I note the legal comment and have nothing to add to the report

Members’ Interests

The Monitoring Officer is not aware of any specific interests that may arise in relation to this report. If Members have an interest they should declare it at the start of the meeting and complete the relevant notification form.

Recommendation:	Reason for Recommendation:
The Capital Virement to roll forward £850k of the Repurchase / New Build budget into 2017/18 is approved.	To allow the costs of Service Reform and Transformation to be funded from capital receipts instead of revenue.

Relevant Policy (ies):	Financial Regulations		
Within Policy:	Yes	Within Budget:	Yes

Relevant Local Member(s):	
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Person(s) To Implement Decision:	Dawn Richards
Date By When Decision To Be Implemented:	

Contact Officer Name:	Tel:	Fax:	Email:
Jane Thomas	01597 826341	01597 826290	jane.thomas@powyscc.gov.uk

Background Papers used to prepare Report:

Cabinet Report

C41-2017 Financial Overview and Forecast as at 31st January 2017

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CYNGOR SIR POWYS COUNTY COUNCIL.

County Council
7th March, 2017

REPORT AUTHOR: Democratic Services Committee

SUBJECT: Review of the Constitution

REPORT FOR: Decision

1. Summary

- 1.1 The report recommends that the County Council approve revisions to Sections 4, 5, 7, and 12 of the Council's Constitution.

2. Background

- 2.1 The Council will be aware that the Wales Audit Office (WAO) undertook a review of the Council's governance and financial resilience at the end of 2016. As a result of the feedback to the review the Council has developed an action plan to respond to issues raised by the WAO. A draft of the WAO report has now been received by the Council.
- 2.2 One of the major issues identified by the WAO related to strategic decision making by the Cabinet and the involvement of scrutiny in pre-scrutinising major reports prior to their being considered by the Cabinet. This issue focussed on the development of a forward programme for both the Cabinet and Scrutiny Committees. In addition WAO expressed concern regarding the operation of the Cabinet, including the length of meetings and numbers of items considered. In particular WAO commented on the right to speak at Cabinet meetings by Scrutiny Chairs and Opposition Group Leaders which is not allowed by any other authorities in Wales and was argued to be detrimental to the operation of the Cabinet.
- 2.3 At a meeting held on Tuesday 14th February, involving the Chairs of the Scrutiny and Democratic Services Committees with the Leader and representatives of the Cabinet, a way forward was discussed. As a result it was identified that urgent changes to Sections 5 and 7 of the Constitution were required, which are presented to the Council for consideration.
- 2.4 As a result of that meeting the views of Opposition Group Leaders was sought regarding the withdrawal of their right to speak at Cabinet Meetings. Of the 3 Group Leaders only the Leader of the Welsh Conservative Group was opposed to the proposal.
- 2.5 At the meeting on 14th February, the views of 2 Scrutiny chairs and 1 Vice-Chair was sought and all were in agreement to the proposed changes to the right of Scrutiny Chairs to speak at Cabinet meetings.

- 2.6 With regard to the suggested amendment to the Terms of Reference of the Scrutiny Committees, this has been discussed with the Joint Chairs Steering Group, the People Scrutiny Committee and the Chair of the Place Scrutiny Committee. In all cases there was agreement to amending the Terms of Reference as suggested.
- 2.7 In addition there are two sections of the Constitution (Sections 4 and 12) which have already been revised as part of the current ongoing review of the Constitution and are also presented to the Council for consideration.
- 2.8 In reviewing the terms of reference of the Scrutiny Committees, it has become apparent that there is an imbalance between the role of the Place and People Scrutiny Committees. Therefore following consultation with the People Scrutiny Committee, the Joint Chairs Steering Group, the Chair of the Place Scrutiny Committee and the Democratic Services Committee it is proposed to amend the terms of reference of the scrutiny committees as indicated in the attached Appendices.
- 2.9 The draft revisions to the Constitution were considered by the Democratic Services Committee on 21st February, 2017 and its recommendation is set out below.

3. Summary of Proposed Changes.

- 3.1 The proposed changes are enclosed as Appendices to this report and the proposals are indicated by tracked changes. However for ease of reference the following is a table showing all the proposed changes and the significant changes are clearly marked.

Rule	Subject	Significant Change.
4.15.25	Pay Policy dispensation for officers	Yes – Council has approved this amendment 23/02/17.
4.24.1	Chairing Meetings of Council	Yes – omission required by law
4.30.1	Notice of Questions	
4.49.2	Amendments to Motions	
4.55	Point of Order	
4.81.2	Exclusion of the Public	Yes – omission required by law
5.21	Who May Attend Cabinet Meetings	
5.22.14	Cabinet Work Programme	Yes – arising from Wales Audit Office Corporate Review
5.25	Speaking at Cabinet Meetings	Yes
5.26	Rights of Scrutiny Chairs and Group leaders to Speak at Cabinet Meetings	Yes – arising from Wales Audit Office Corporate Review
7.4	Role of Scrutiny Committees	Yes
7.16	Work Programme	
7.21.1	Arrangements for Scrutiny Committees	
7.41 / 7.42	Finance Scrutiny Panel	
12.5.4	Sealing and Execution of Documents	

4. RECOMMENDATION.

Recommendation to the County Council	Reason for Recommendation:
<p>(i) that Sections 4, 5, and 12 of the Constitution as amended be approved to take effect from the 7th March, 2017.</p> <p>(ii) that Section 7 of the Constitution as amended be approved to take effect from 18th May, 2017.</p>	To update Sections of the Constitution in response to the WAO review of the Council's governance arrangements, and as part of the ongoing review of the Constitution.

Relevant Policy (ies):			
Within Policy:	Y	Within Budget:	Y / N

Relevant Local Member(s):	
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Person(s) To Implement Decision:	Clive Pinney, Solicitor to the Council
Date By When Decision To Be Implemented:	March / May 2017.

Contact Officer:	Wyn Richards, Scrutiny Manager and Head of Democratic Services.
Tel:	01597-826375
Email:	wyn.richards@powys.gov.uk

Background Papers used to prepare Report:

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SECTION 7 – SCRUTINY COMMITTEES

Introduction

- 7.1 The Council is required by Law to discharge certain overview and scrutiny functions. These functions are an essential component of local democracy. The Scrutiny Committees should be powerful committees that can contribute to the development of Council policies and also hold the Cabinet to account for its decisions. Another key part of the overview and scrutiny role is to review existing policies, consider proposals for new policies and suggest new policies.
- 7.2 Overview and scrutiny should be carried out in a constructive way and should aim to contribute to the delivery of efficient and effective services that meet the needs and aspirations of local inhabitants. Scrutiny Committees should not shy away from the need to challenge and question decisions and make constructive criticism.

Scrutiny Committees

- 7.3 In order to achieve this, the Council have appointed four Scrutiny Committees which between them will:
- 7.3.1 review or scrutinise decisions made or actions taken in connection with the discharge of any of the Council's functions whether by the Cabinet or another part of the Council;
 - 7.3.2 make reports or recommendations to the Cabinet or the Council in connection with the discharge of any functions;
 - 7.3.3 consider any matter which affects the Council's area or its inhabitants; and
 - 7.3.4 exercise the right to call in for reconsideration decisions made, but not yet implemented, by the Cabinet and Officers.

Role, Scope and Membership

- 7.4 The role, scope and Membership of the Scrutiny Committees are described in the table below:

Committee and Membership	Terms of Reference / Areas of Responsibility
<p style="text-align: center;"><u>Audit</u></p> <p style="text-align: center;"><u>14 Councillors – Politically Balanced</u></p> <p style="text-align: center;"><u>At least 1 Co-opted Lay Member with voting rights</u></p> <p style="text-align: center;"><u>(See Rule 7.5.2 below)</u></p>	<ul style="list-style-type: none"> • <u>Approve statement of accounts, income and expenditure and balance sheet;</u> • <u>Commissioning and Procurement Working Group (Joint Working Group with the People Scrutiny Committee)</u> • <u>External Audit Reports;</u> • <u>Finance Monitoring – Council wide;</u> • <u>Internal Audit Reports;</u> • <u>Oversee the authority's internal and external audit arrangements;</u> • <u>Performance Monitoring – Council wide, including workforce;</u> • <u>Review and assess risk management, internal control and corporate governance arrangements and make reports and</u>

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	<p><u>recommendations on the adequacy and effectiveness of those arrangements;</u></p> <ul style="list-style-type: none"> • <u>Review and scrutinise the authority's financial affairs and make reports and recommendations on the authority's financial affairs;</u> • <u>Review the financial statements prepared by the authority.</u> <p><u>Commissioning</u> <u>Procurement</u></p>
<p><u>Scrutiny Committee 1 / A</u> <u>14 Councillors – Politically Balanced</u> <u>1 Non-Voting Co-optee for crime and disorder functions</u></p>	<p><u>Adult Social Care</u></p> <p><u>Lead on Strategic Social Services</u></p> <p><u>Mental Health</u> <u>Older People</u> <u>Disabled People</u> <u>Learning Disabilities</u> <u>Carers Services</u> <u>Substance Misuse</u> <u>(Operational services covers both care management and provider services)</u> <u>Commissioning and Contracting</u> <u>Adult Social Services Policy Interpretation and Development.</u> <u>Domiciliary Care</u> <u>Health and Well Being</u> <u>Health Intervention Programme. Exercise on Prescription, Cardiac Rehabilitation).</u></p> <p><u>Health, Social Care and Well-Being Partnership</u></p> <p><u>Older Persons Champion</u></p> <p><u>Affordable Housing</u></p> <p><u>Welsh Housing Quality Standard</u> <u>Housing</u></p> <p><u>Public Sector Housing (landlord function)</u> <u>Supporting People</u> <u>Homelessness</u> <u>Private Sector Housing</u></p> <p><u>Planning</u> <u>Development Management and Planning</u></p>

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Control.
Enhancement of Conservation Areas.
Listed Building control and advice.
Built Heritage Conservation grants.
Designation and safeguarding of trees the subject of Tree Preservation Orders.
Planning Enforcement.
Building Control, including health and safety of buildings, dangerous structures.
Street naming and numbering service
Development and Planning Policy, input into regional planning policy development.
Minerals and Waste Planning.
Designation
Land Drainage associated with planning and land use

Regeneration

Community Regeneration

Community Enablement Fund/Welsh Church Acts
Communities First
Business Services
Business Grants
Business Advice.
Opportunity Wales and other contracts
Tourism
Tourist Information Service

Europe

European funds
Powys Regeneration Partnership
European policy
External funding

Economic Development

Land Charges
Village Halls

Highways

Transport Planning and Policy
Traffic management
Road safety
Development Control
Transport Co-ordination
Highway asset Management
Network management

SECTION 7 – SCRUTINY COMMITTEES

	<p><u>TRACC</u> <u>Highways Engineering Design – Contract, Procurement, Administration & Supervision</u> <u>Highways Maintenance (Technical Advice).</u> <u>Highways Maintenance Operations (e.g. Winter Maintenance).</u> <u>Fleet Management (including Fuel Management).</u> <u>Car parks</u> <u>Trunk Road Agency</u></p> <p><u>Statutory Compliance</u> <u>Building Maintenance Operations</u> <u>Servicing Contracts and testing</u> <u>Street Cleaning</u> <u>Public Conveniences</u> <u>Grounds Maintenance</u> <u>Markets</u> <u>Land Drainage</u></p> <p><u>County Farms Estate</u></p> <p><u>Waste Management</u> <u>Refuse Collection</u></p> <p><u>Environmental Health</u></p> <p><u>Trading Standards</u></p> <p><u>Emergency Planning</u> <u>Community Safety</u></p> <p><u>Property and Assets</u> <u>Catering and Cleaning</u> <u>Workshops</u> <u>Building Design</u> <u>Gypsies & Travellers</u></p> <p><u>Archives</u></p> <p><u>Central / Corporate Support Services</u></p>
<p><u>Scrutiny Committee 2 / B</u> <u>Membership:</u> <u>14 Councillors – Politically</u> <u>Balanced:</u> <u>3 parent governor</u></p>	<p><u>Education</u> <u>Special Educational Needs</u> <u>Exclusions</u> <u>Attendance</u> <u>Education other than at school</u></p>

SECTION 7 – SCRUTINY COMMITTEES

<p>representatives: <u>1 Church in Wales Diocese representative:</u> <u>1 Roman Catholic Church Diocese representative.</u></p>	<p><u>Looked after children</u> <u>English as an additional language</u> <u>Migrant and Traveller Children</u> <u>Admissions</u> <u>Behaviour management</u> <u>Complementary education</u> <u>Education Psychology</u> <u>Monitoring, challenging and supporting schools and providing intervention when necessary</u> <u>Support for teaching and learning</u> <u>Support for leadership and management including governance</u> <u>Provision of and support for use of data</u> <u>Early years education</u> <u>Welsh Medium/ Bilingual Education</u> <u>Welsh Education scheme</u> <u>14-19 Learning Pathways</u> <u>Basic skills strategic intervention programme</u> <u>Workforce remodelling</u> <u>School Organisation Review</u> <u>Post 16 Education</u> <u>School Transport Policy</u> <u>Lifelong Learning (adult continuing education incl. Welsh for Adults)</u></p> <p><u>Welsh Language</u></p> <p><u>Children's Services</u> <u>Lead Member for Children</u> <u>Partnership Coordination</u> <u>Youth Offending Service</u> <u>Child Protection</u> <u>Commissioning and Policy for Children's Services</u> <u>Powys Executive Safeguarding Group</u> <u>Safeguarding</u> <u>Children with Disabilities including Residential Respite Unit</u> <u>Family Placement Teams</u> <u>Children's Social Work Teams</u> <u>Children and Young People's Partnership</u> <u>Childcare</u> <u>Adoption</u> <u>Fostering</u> <u>Leaving Care</u> <u>Out of Hours Service</u> <u>Corporate Parenting</u></p>
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SECTION 7 – SCRUTINY COMMITTEES

	<p style="text-align: center;"><u>Looked after Children</u></p> <p><u>Libraries</u> <u>Culture</u></p> <p><u>Libraries (Public Library Service & Schools Library Service)</u> <u>Arts and Culture (Theatres, Galleries, Museums, Arts Strategy, Arts Development, Theatr Powys / Powys Dance)</u> <u>Youth Service</u> <u>YFC</u></p> <p><u>Leisure</u></p> <p><u>Leisure (Recreation and Leisure policy, Leisure and Sports Centres, Outdoor Pursuits Centres, Indoor Bowling Centre, Sports Development (Generic Sports Development, Sports Specific Sports Development – Football, Rugby, Cricket, Netball, Hockey, Disability Sport) Outdoor Recreation (Parks and Open Spaces, Playgrounds, Sports Pitches – Bowls, Football, Rugby</u></p> <p><u>Woodland Management</u> <u>Landscape Design</u></p> <p><u>Countryside Services (Countryside Rights of Way, Maintenance, Open Access, Biodiversity, Coed Cymru, Commons Registration, National Trails).</u></p> <p><u>Sustainability</u></p> <p><u>Climate Change & Sustainable Development Sustainability, Green Dragon and Carbon Management</u> <u>Sustainability Strategy</u></p>
<p><u>Joint Chairs and Vice-Chairs</u> <u>Steering Group</u> <u>Membership:</u></p>	<p><u>One Powys Plan</u> <u>Partnership Management</u></p> <p><u>Functions specified under Rule 7.40</u></p>

Membership of the Audit Committee.

7.5.1 The Audit Committee will comprise 14 Councillors, appointed to achieve as far as reasonably practicable a political balance on the committee plus 1 voting Lay Member plus such other co-optees as may be appointed by the

SECTION 7 – SCRUTINY COMMITTEES

Council (subject to the total number of co-optees being less than one third of the total membership).¹

7.5.2 In accordance with Section 82 of The Measure:

7.5.2.1 At least one member of the Audit Committee must be a voting Lay Member;

7.5.2.2 An act of the Audit Committee will be invalid if the membership of the committee breaches any of the membership requirements set out in Rules 7.5.1 and 7.5.2.1.

7.5.2.3 The Chair of the Audit Committee is appointed by it and the Chair:

- cannot be a member of the Cabinet;
- can be a Lay Member or a Co-Opted Member;
- can only be a member of an executive group if there are no opposition groups. (See Rule 7.5.4 below);

7.5.2.4 The Measure does not require a Cabinet Member to be a member of the Audit Committee but a maximum of one member of Cabinet (but not the Leader) may be a member of the Audit Committee;

7.5.3 The Vice-Chair of the Audit Committee will be appointed annually by the Committee who may appoint a Councillor, the Lay Member or a Co-Opted Member. (see Rule 7.5.4 below)

7.5.4 A person presiding at an Audit Committee can only be a member of an executive group if there are no opposition groups.

General Functions

7.6 Within their terms of reference, Scrutiny Committees will:

7.6.1 review and / or scrutinise decisions made, or other action taken in connection with the discharge of any function of the authority;

7.6.2 make reports and / or recommendations to the Full Council and / or the Cabinet, and / or any joint committee or Area (Shire) Committee in connection with the discharge of any function of the authority;

7.6.3 consider any matter affecting the area or its inhabitants;

7.6.4 exercise the right to call-in, for reconsideration, decisions made but not yet implemented, by the Cabinet, a committee of the Cabinet, a member of the Cabinet, an officer exercising functions delegated by the Cabinet, and / or any Area (Shire) Committee exercising functions delegated by the Cabinet. (See Call-In Procedure – Rule 7.37);

Specific Functions

Policy Development and Review

7.7 The Scrutiny Committees may:

7.7.1 assist the Council and the Cabinet in the development of its Budget and Policy Framework by in depth analysis of policy issues, including

¹ See Section 82 of The Measure.

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- pre-scrutiny of draft or amended policies;
- 7.7.2 conduct research, site visits, community and other consultation in the analysis of policy issues and possible options;
- 7.7.3 question members of the Cabinet and/or Committees and Chief Officers from the Council about their views on issues and proposals affecting the area;
- 7.7.4 liaise with other external organisations operating in the area, whether national, regional or local, to ensure that the interest of local people are enhanced by collaborative working;
- 7.7.5 consider the impact of policies to assess if they have made a difference;
- 7.7.6 consider and implement mechanisms to encourage and enhance community participation in the scrutiny of the development of policy options; and
- 7.7.7 investigate or review a particular matter in depth, reporting their conclusions and making any recommendations to the Council or Cabinet as appropriate.

Scrutiny

7.8 Scrutiny Committees may:

- 7.8.1 review and scrutinise the decisions by and performance of the Cabinet and/or Committees and Council Officers in relation to individual decisions and over time;
- 7.8.2 review and scrutinise the performance of the Council in relation to its policy objectives, performance targets and/or particular service areas;
- 7.8.3 question members of the Cabinet and/or Committees and Chief Officers from the Council about their decisions and performance, whether generally in comparison with service plans and targets over a period of time, or in relation to particular decisions, initiatives or project;
- 7.8.4 make recommendations to the Cabinet and/or appropriate Committee and/or Council arising from the outcome of the scrutiny process;
- 7.8.5 review and scrutinise the performance of other public bodies in the area and invite reports from them by requesting them to address the Scrutiny Committee and local people about their activities and performance;
- 7.8.6 question and gather evidence from any person (with their consent);
- 7.8.7 review and scrutinise the budget setting process;
- 7.8.8 conduct research, site visits, community (and other) consultation for the purposes of analysing issues and developing where appropriate; possible options, through liaison with the area/community partnerships; and
- 7.8.9 consider and report on mechanisms to encourage and enhance community participation in the development of service delivery options.

Finance

7.9 Scrutiny Committees may exercise overall responsibility for the finances made available to them.

SECTION 7 – SCRUTINY COMMITTEES

Annual Report

7.10 The Scrutiny Committees may report annually to the Full Council on their workings.

Head of Democratic Services

7.11 One of the roles of the Head of Democratic Services under section 8 of The Measure is to promote the role of the Council's Scrutiny Committees and to promote support and guidance to members and Officers generally about the functions of the Scrutiny Committees .

Who May Sit on Scrutiny Committees ?

7.12.1 Subject to Rule 7.12.2. below all Councillors (except members of the Cabinet) may be members of the Scrutiny Committees. However, no Member may be involved in scrutinising on decisions in which s/he has been directly involved.

7.12.2 In accordance with Section 82 of The Measure no more than one member of the Cabinet may be a member of the Audit Committee (but there is no requirement for Full Council to appoint a member of the Cabinet to the Audit Committee). The Leader cannot be a member of the Audit Committee.

Co-Optees

7.13.1 The People Scrutiny Committee shall include in its membership the following voting representatives:

- 7.13.1.1 One Church in Wales diocese representative;
- 7.13.1.2 One Roman Catholic diocese representative; and
- 7.13.1.3 Three parent governor representatives (covering so far as practicable the primary, secondary and special needs sectors)

7.13.2 When matters which are not education matters, which are the responsibility of the Cabinet, fall to be considered by the People Scrutiny Committee, the co-opted representatives specified in rule 7.13.1 shall not vote, although they may stay in the meeting and speak.

7.13.3 The Place Scrutiny Committee shall include in its membership in a non-voting capacity 1 representative from the Police and Crime Panel (or from such other body which replaces the Panel), subject to that representative not being a Powys County Council representative on the Police and Crime Panel.

7.13.4 In addition to co-optees appointed under rules 7.13.1.1 to 7.13.1.3 above, Scrutiny Committees may recommend to Full Council the co-option of other persons as voting or non-voting representatives. In exercising or deciding whether to exercise a co-option, the Authority must, under section 76 of The Measure, have regard to guidance given by the Welsh Ministers and comply with directions given by them

7.13.5 The total number of co-optees on each Scrutiny Committee may not exceed more than one third the membership of the committee.²

² Paragraph 8.19 Statutory Guidance from the Local Government Measure 2011 (issued by Welsh Government June 2012)

SECTION 7 – SCRUTINY COMMITTEES

Who Chairs Scrutiny Committees (other than the Chair of the Audit Committee)?

7.14 The arrangements included in sections 66-75 of The Measure will be followed for appointing persons to chair Scrutiny Committees (other than the Chair of the Audit Committee who will be appointed in accordance with Rule 7.5.2.3)³.

Role of the Chair of Scrutiny Committees

7.15.1 The chairs of the Scrutiny Committees will liaise with the Cabinet and the Head of Paid Service and will supervise the work programme for their Scrutiny Committee and identify cross cutting themes arising from the various Scrutiny Committees .

7.15.2 In summary, therefore, the Chair will:

7.15.2.1 be accountable for delivering effective scrutiny;

7.15.2.2 will regularly monitor the work programmes for their Scrutiny Committee; and

7.15.2.3 will liaise with the Cabinet on issues affecting the scrutiny work programme.

Work Programme

7.16 The Joint Chairs Steering Group ~~Scrutiny Committees~~ will be responsible for setting their ~~own~~ work programme for each scrutiny committee and in doing so they should take into account the Cabinet Forward Work Programme and focus on strategic and important issues. ~~wishes of members of that Scrutiny Committee who are not members of the largest political group on the Council. It may also consider urgent and unforeseen matters not included in the work programme~~ Any requests from scrutiny members for matters to be scrutinised should be sent to the Joint Chairs Steering Group for consideration.

Meetings

7.17.1 The Scrutiny Committees will have at least 4 meetings a year.

7.17.2 The Audit Committee must also meet if:

(a) the Full Council resolves that the Committee should meet; or

(b) at least one third of the members of the Audit Committee requisition a meeting by one or more notices in writing to the chair.

7.17.3 Extraordinary meetings may be called from time to time where the chair of a Scrutiny Committee considers it is necessary to do so.

Joint Scrutiny Committees

7.18 Under section 58 of The Measure, regulations may be made to permit two or more local authorities to appoint a joint Scrutiny Committee. This is set out in the Local Authority (Joint Overview and Scrutiny) (Wales) Regulations 2012.

Rules of Procedure and Debate (“Scrutiny Procedure Rules”)

7.19 Rules 7.19 to 7.37 (inclusive) shall be known as the Scrutiny Procedure Rules and will apply to meetings of the Scrutiny Committees.

³ For provisions relating to the Chair of the Audit Committee See Rule 7.3.2(b)(ii) above.

SECTION 7 – SCRUTINY COMMITTEES

What will be the Number and Arrangements for Scrutiny Committees ?

- 7.20.1 The Council will have four Scrutiny Committees set out in the table in Rule 7.3 and will appoint to them as it considers appropriate from time to time. The Chair of a Scrutiny Committees may appoint smaller groups (Member and Officer Working Groups) to carry out detailed examination of particular topics for report back to the committee. Such groups may be appointed for a fixed period on the expiry of which they shall cease to exist or may be appointed on a task and finish basis. Such Member and Officer Working Groups should work on a non-political basis and wherever possible should comprise as many political groups as practicable.
- 7.20.2 The terms of reference / areas of responsibility of the various Scrutiny Committees will be as set out in Rule 7.4 above.
- 7.20.3 Each Scrutiny Committee (with the exception of the Audit Committee and the Local Service Board Scrutiny Committee) will be Chaired by a chair appointed by the Full Council from the membership of that Scrutiny Committee and there will be cross party membership of all Scrutiny Committees.

Meetings of the Scrutiny Committees

- 7.21.1 Subject to Rule 7.17 above the Full Council may determine a cycle of meetings for scrutiny committees . If the Full Council does not set the cycle, each Scrutiny Committee shall determine their own cycle of meetings. The chair, or in their absence the vice chair, may change the date or cancel meetings, or call additional meetings as they consider necessary to deal with the Scrutiny Committee's work programme.
- 7.21.2 In addition, extraordinary meetings may be called from time to time by:
- 7.21.2.1 the Full Council by resolution; or
 - 7.21.2.2 the relevant Scrutiny Committee by resolution; or
 - 7.21.2.3 the chair of the relevant Scrutiny Committee; or
 - 7.21.2.4 any 5 members of the relevant Scrutiny Committee; or
 - 7.21.2.5 the Head of Paid Service; or
 - 7.21.2.6 the Monitoring Officer; or
 - 7.21.2.7 the Section 151 Officer;

as s/he / they considers necessary or appropriate.

Quorum

- 7.22 The quorum of a meeting will be 25% of the number of members of that Scrutiny Committee. During any meeting, if the chair declares that there is not a quorum present, then the meeting will adjourn immediately. Remaining business will be considered at a time and date fixed by the chair. If s/he does not fix a date, the remaining business will be considered at the next ordinary meeting of the Scrutiny Committee..

Business at First Meeting Following the Annual Meeting of the County Council

- 7.23 The first meeting of a scrutiny committee following the Annual Meeting of the County Council will (as may be appropriate):

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- 7.23.1 elect a chair of the Audit Committee;
- 7.23.2 elect a person to preside if the chair of the Committee is not present;
- 7.23.3 elect the vice-chair of the Committee
- 7.23.4 (unless the committee decides unanimously to dis-apply the political balance requirements) allocate seats to political groups on sub-committees authorised by the Full Council.
- 7.23.5 make appointments to sub-committees authorised by Full Council (see Rules 4.6.1; 4.6.7; 4.15.7; and 4.18.6); such appointments to be in accordance with the wishes of the groups unless the committee decides unanimously to dis-apply the political balance requirements;
- 7.23.6 establish or re-establish Member and Officer Task and Finish Working Groups and make appointments thereto as appropriate;
- 7.23.7 to approve the committee's work programme for the forthcoming year;
- 7.23.8 deal with those items of business listed in Rule 7.24 below as may be appropriate.

The order of business, with the exception of items 7.23.1 and 7.23.2 may be altered by the chair.

Business at Other Meetings

- 7.24 At all other meetings of committee, the committee will (as may be appropriate)
 - 7.24.1 elect a person to preside if the chair and vice-chair of the Committee are not present;
 - 7.24.2 elect the chair of the Audit Committee in the event of there being a vacancy
 - 7.24.3 elect a vice-chair of the Committee in the event of there being a vacancy;
 - 7.24.4 receive declarations of interest (including whipping declarations);
 - 7.24.5 make appointments to sub-committees and Member and Officer Task and Finish Groups in the event of there being a vacancy;
 - 7.24.6 receive apologies for absence;
 - 7.24.7 approve the minutes of the last meeting;
 - 7.24.8 receive any announcements from the chair;
 - 7.24.9 receive reports from the Cabinet and / or from any other committees, and / or from officers;
 - 7.24.10 make recommendations to the Cabinet or Full Council;
 - 7.24.11 deal with any business outstanding from the last meeting;
 - 7.24.12 (where the Leader or a Cabinet member attends a meeting of the committee) to receive a presentation from the Leader or a Cabinet member and / or to put questions to, and to receive responses from the Leader or a Cabinet member;
 - 7.24.13 put questions to the chair or relevant officers of the Council where appropriate on items of business before the committee and to receive responses.
 - 7.24.14 receive reports from sub-committees and Member and Officer Task

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- and Finish Groups;
- 7.24.15 to consider requests from members of the committee for items of business to be considered at a future meeting or at the meeting when the request is made if the chair is satisfied that the matter is urgent (the reason for the urgency being recorded in the minutes), and that the committee is able to have the necessary professional advice of officers;
- 7.24.16 consider motions without notice as set out in the Full Council Procedure Rules in Section 4 of this Constitution;
- 7.24.17 review the Committee's Work Programme for the forthcoming year and make such alterations as are necessary;
- 7.24.18 consider such other business specified in the summons to the meeting;
- 7.24.19 consider other business, not specified in the summons as the chair considers urgent, subject to the nature of the urgency being specified in the minutes;
- 7.24.20 to exclude the public for the consideration of any item in accordance with the Access to Information Procedure Rules;
- 7.24.21 receive notes of meetings of the Joint Chairs and Vice-Chairs Steering Group.

The order of business, with the exception of items 7.24.1 to 7.24.4 may be altered by the chair.

Agenda Items

- 7.25.1 Any member of a Scrutiny Committee shall be entitled to give notice to the chair of the Scrutiny Committee that s/he wishes an item relevant to the functions of that Scrutiny Committee to be included on the agenda for the next available meeting. The chair will decide in his / her absolute discretion whether or not the matter will be placed on the agenda for the next meeting.
- 7.25.2 Scrutiny Committees shall also respond, as soon as their work programme permits, to requests from the Full Council and/or the Cabinet to review particular areas of Council activity. Where they do so, the particular Scrutiny Committee shall report their findings and any recommendations back to the Cabinet and/or Council as appropriate. The Council and/or the Cabinet shall consider the report of the Scrutiny Committee and respond (if appropriate) within 6 weeks of its consideration

Policy Review and Development

- 7.26.1 The role of Scrutiny Committees in relation to the development of the Council's Budget and Policy Framework is set out in detail in the Budget and Policy Framework Procedure Rules in Section 15.
- 7.26.2 In relation to the development of the Council's approach to other matters not forming part of its Budget and Policy Framework, Scrutiny Committees may make proposals to the Cabinet for developments in so far as they relate to matters within their terms of reference.
- 7.26.3 Scrutiny Committees may hold enquiries and investigate the available options for future direction in policy development and may appoint advisers and assessors to assist them in this process. They may go on site visits,

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conduct public surveys, hold public meetings, commission research and do all other things that they reasonably consider necessary to inform their deliberations. They may ask witnesses to attend to address them on any matter under consideration and may pay any advisers, assessors and witnesses a reasonable fee and expenses for doing so.

Reports from Scrutiny Committees

- 7.27.1 All formal reports from Scrutiny Committees will be submitted to the Monitoring Officer for consideration by the Cabinet (if the proposals are consistent with the existing Budget and Policy Framework), or to the Council as appropriate (e.g. if the recommendation would require a departure from or a change to the agreed Budget and Policy Framework).
- 7.27.2 If a Scrutiny Committee cannot agree on one single final report to the Council or Cabinet as appropriate, one minority report may be prepared and submitted for consideration by Full Council or Cabinet with the majority report.
- 7.27.3 A Scrutiny Committee may publish any non-confidential report.

Making sure that Scrutiny Reports are considered by Full Council or Cabinet

- 7.28.1 The reports of Scrutiny Committees shall be referred to the Cabinet (as determined by the Scrutiny Committee) within one month or to the next meeting of Full Council (where applicable). Where an item is not considered by Full Council or Cabinet within the period specified above, the Chair of Council or the Leader will give an explanation of the reasons to the chair of the relevant Scrutiny Committee as soon as practicable.
- 7.28.2 Where a scrutiny report is referred to the Cabinet the relevant Portfolio Holder(s) and senior officers will attend the meeting of the Cabinet wherever possible. The Cabinet will prepare a written response to the scrutiny report, including an action plan where appropriate, within 2 months. The Portfolio Holder(s) and senior officers if requested to do so will attend a future meeting of that Scrutiny Committee to present the Cabinet's response.

Rights of Members of Scrutiny Committees to Documents

- 7.29.1 Members of Scrutiny Committees have the additional right to documents, and to notice of meetings as set out in the Access to Information Procedure Rules in Section 14 of this Constitution.
- 7.29.2 Nothing in this paragraph prevents more detailed liaison between the Cabinet and the Scrutiny Committees as appropriate depending on the particular matter under consideration.

Members and Senior Officers Giving Account

- 7.30.1 Scrutiny Committees may scrutinise and review decisions made or actions taken in connection with the discharge of any Council functions within its remit. As well as reviewing documentation, in fulfilling the Scrutiny role, it may require any member of the Cabinet, the Chief Executive and/or any senior Officer to attend before it to explain the following matters within their remit:
- 7.30.1.1 any particular decision or series of decisions; and / or
- 7.30.1.2 the extent to which the actions taken implement Council policy;

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- and/or
- 7.30.1.3 the implementation of decision(s) and or Council policy
- and it is the duty of those persons to attend if so required.
- 7.30.2 Where there are concerns about the appropriateness of the Officer who should attend, the relevant chief Officer shall discuss this with the appropriate Scrutiny Chair or Vice Chair with a view to achieving consensus.
- 7.30.3 Where any Member or Officer is required to attend Scrutiny Committees under this provision, the Chair of that Committee will inform the Monitoring Officer. The Monitoring Officer (or officers nominated by him / her) shall inform the Member or Officer, if necessary in writing, giving at least 5 working days' notice of the meeting at which s/he is required to attend (unless agreed otherwise). Any notice will state the nature of the item on which he or she is required to attend to give account and whether any papers are required to be produced for the Committee.
- 7.30.4 For the purposes of attendances of members or Officers at the Audit Committee only, in accordance with Section 83(6) of The Measure, a person is not obliged to answer any question which the person would be entitled to refuse to answer in, or for the purposes of, proceedings in a court in England and Wales.
- 7.30.5 Where the account to be given to a Scrutiny Committee will require the production of a report, then the Member or Officer concerned will be given sufficient notice to allow for its preparation.
- 7.30.6 Where, in exceptional circumstances, the Member or Officer is unable to attend on the required date, then the Scrutiny Committee shall in consultation with the Member or Officer arrange an alternative date for attendance.

Attendance by Others

Attendance of Leader or Cabinet Member

- 7.31 Subject to the Members' Code of Conduct, the Leader or a Cabinet member in the role of the Leader's representative may attend and speak but not vote at meetings of Scrutiny Committees where the Leader has a specific issue to raise with the committee. The attendance of a Cabinet member in all other cases will be at the invitation of the chair or the Scrutiny Committee.

Attendance of Members at Committee Meetings

- 7.32.1 In addition to their right to attend all meetings of committees of which they are members, Members (with the exception of Cabinet members) shall have the right to attend any meeting of a Scrutiny Committee and to remain present notwithstanding the passing of a resolution to exclude the public.
- 7.32.2 Such attendance shall be as observer only, with no right to vote, the attendance not being included in the relevant quorum, and no right to speak, provided that the chair in his / her discretion may permit the member to speak on a particular issue.
- 7.32.3 Such right of attendance is subject to the Councillor not having a Prejudicial

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Interest in the matter under discussion under the Members' Code of Conduct and subject to any legal provisions and any limitations or restrictions within this Constitution.

Others

7.33 Scrutiny Committees may invite people other than those people referred to in Rules 7.30 and 7.31 above to address it, discuss issues of local concern and/or answer questions. It may for example wish to hear from residents, stakeholders, members and officers in other parts of the public sector and shall invite such people to attend.

The Party Whip in Scrutiny

7.34 If a member of a Scrutiny Committee is subject to a party whip in respect of an issue to be considered by it, that member must declare the existence of the whip and the nature of it before the commencement of deliberations on the matter. The declaration, and the detail of the whipping arrangements, shall be recorded in the minutes of the meeting. The member declaring the existence of a whip may speak at the meeting but is not entitled to vote on the question.⁴

Procedure at Scrutiny Committee Meetings

7.35.1 The Rules of Procedure at Scrutiny Committees will be the same as the Full Council Procedure Rules set out in Section 4 of this Constitution except that the chair of the meeting may allow the rules of debate to be relaxed to enable a full contribution by those attending the meeting whether members of a Scrutiny Committee or in any other capacity which allows them to contribute to the worth of the meeting.

7.35.2 Scrutiny Committees may ask people to attend to give evidence or answer questions about any items on their agenda. Meetings should be conducted in accordance with the following principles:

7.35.2.1 that the business be conducted fairly and all members of the Scrutiny Committee be given the opportunity to ask questions of attendees, and to contribute and speak;

7.35.2.2 that those assisting by giving evidence be treated with respect and courtesy;

7.35.2.3 that the business be conducted as efficiently as possible.

7.35.3 Following any investigation or review, a Scrutiny Committee shall prepare a report, for submission to the Cabinet and/or Full Council as appropriate and shall make its report and findings public.

⁴ Section 78(1) of the Local Government (Wales) Measure 2011.

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Matters within the Remit of more than one Scrutiny Committee

7.36 Where a matter for consideration by Scrutiny Committees falls within the remit of more than one Scrutiny Committee the decision as to which Scrutiny Committee is to consider the matter will be resolved by the respective Chairs or, the Joint Chairs and Vice-Chairs Steering Group, or if they fail to agree, the decision will be made by Monitoring Officer.

Call-In Procedure Rules

7.37 The Call-In Procedure Rules set out in this Rule 7.37 do not apply to the Local Services Board Scrutiny Committee. For the sake of clarity the Local Service Board Scrutiny Committee does not have the ability to call-in decisions / recommendations made by the Local Service Board.

7.37.1 Where a decision is made by the Cabinet or an individual member of the Cabinet or a Committee of the Cabinet or under joint arrangements with other public bodies, the decision shall be published by the Monitoring Officer, including where possible by electronic means, and shall be available at the main offices of the Council normally within 2 working days of it being made. All Councillors will be sent copies of the records of all such decisions within the same time scale.

7.37.2 The communication to Councillors sending them the decision will (a) bear the date on which the decision is published and (b) will specify the date when the decision will come into force (subject to Rule 7.37.3) and may then be implemented, on the expiry of five clear days (the “Call-in Period”) after the date of publication of the decision, unless the appropriate Scrutiny Committee objects to it and calls it in for review within the Call-in Period.

7.37.3 During the Call-in Period the Monitoring Officer shall call-in a decision for scrutiny by the relevant Scrutiny Committee if so requested in the specified format (“the Call-In Request”⁵) by the chair or ~~5~~4 members of a Scrutiny Committee PROVIDED THAT the Monitoring Officer and / or the Chief Finance Officer are satisfied that the following conditions are met:

7.37.3.1 the decision or action was contrary to the policy framework or budget, or fell outside the functions of the Cabinet; or

7.37.3.2 the Cabinet or decision maker had not followed agreed procedures or failed to consult (where required) before reaching its decision; or

7.37.3.3 the Cabinet had not followed, or had failed to take account of, any legal obligations, including regulations or statutory guidance governing the Council’s actions, or other guidance adopted by the Council.

7.37.4 Where the Monitoring Officer and / or the Chief Finance Officer are satisfied that one or more of the conditions set out in rule 7.37.3 above have been met, they shall produce a written report setting out the reasons for coming to this conclusion and the Monitoring Officer will then arrange for a “Call-In

⁵ See Appendix 1 to this Section.

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Notice⁶ to be issued in accordance with Rule 7.37.5 below.

7.37.5 A Call-In Notice must contain the following:

7.37.5.1 details of the condition set out in rule 7.37.3 above being relied upon;

7.37.5.2 the reasons why it is believed one or more of the conditions are satisfied;

7.37.6 The Monitoring Officer shall call a meeting of that Scrutiny Committee on such a date as s/he may determine, where possible after consultation with the chair or vice chair of that the appropriate Scrutiny Committee, and usually within 10 clear days of the receipt of the Call-In Request (the "Scrutiny Period") (only in exceptional circumstances will the chair of the Scrutiny Committee consider extending this time limit and the period of extension cannot in any circumstances exceed a further 5 clear days).

7.37.7 If, having considered the decision, the Scrutiny Committee remains concerned about the decision, then the Committee may refer it back to the decision making body for reconsideration, setting out in writing the nature of its concerns or refer the matter to Full Council for review. If the decision is referred back to the decision maker, the decision maker shall then reconsider the decision within 10 clear days of the date of the reference. That decision making body or person shall choose whether to amend the decision or not before reaching a final decision and implementing it. The final decision cannot be the subject of a further call-in.

7.37.8 If a Scrutiny Committee does not meet within the Scrutiny Period the decision shall take effect and be implemented on the next day following the expiry of the Scrutiny Period (as extended pursuant to Rule 7.37.6 as the case may be).

7.37.9 If following an objection to the decision, a Scrutiny Committee does not refer the matter back to the decision making person or body or to Full Council, the decision shall take effect on the date of the Scrutiny Committee meeting.

7.37.10 If a Scrutiny Committee refers the matter to Full Council, the Monitoring Officer shall call a meeting of the Full Council on such a date as s/he may determine, where possible after consultation with the chair or vice chair of the Full Council, and usually within 10 clear days of the receipt of the referral (the "Council Scrutiny Period") (only in exceptional circumstances will the chair of the Full Council consider extending this time limit and the period of extension cannot in any circumstances exceed a further 5 clear days).

7.37.11 If, having considered the decision, the Full Council remains concerned about the decision, then the Full Council may refer it back to the decision making body for reconsideration, setting out in writing the nature of its concerns. If the decision is referred back to the decision maker, the decision maker shall then reconsider the decision within 10 clear days of the date of the reference. That decision making body or person shall choose whether to amend the decision or not before reaching a final decision and implementing it. The final decision cannot be the subject of a further call-in.

7.37.12 If the Full Council does not meet within 10 clear days of the date of the

⁶ See Appendix 2 to this Section.

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reference (“the Council Scrutiny Period”), the decision shall take effect on the day after the expiry of the Council Scrutiny Period.

7.37.13 If the Full Council does not refer the matter back to the decision making person or body, the decision shall take effect on the date of the Full Council meeting.

7.37.14 In order to ensure that call-in is not abused, nor causes unreasonable delay, certain limitations are to be placed on its use. These are:

7.37.14.1 each Scrutiny Committee may only call-in a total of five decisions per year;

7.37.14.2 where a Call-in Request has been made by five members of a Scrutiny Committee in accordance with Rule 7.37.3 those five members must come from at least two political groups, or one political group and / or one or more non-aligned Councillor(s);

7.37.14.3 once a Member (the chair of the Scrutiny Committee excepted) has signed a Call-in Request s/he may not do so again until the period of six months has expired.

7.37.14.4 no Education Co-opted members may request a decision be called in.

7.37.14.5 only decisions involving expenditure or reduction in service over the threshold value for tenders set out in Section 17 of this Constitution may be called-in.

7.37.14.6 the decision being called-in, or broadly the same decision, has been called in during the last 6 months.

7.37.14.7 the provisions of Rule 7.38.1 apply (Urgency)

7.37.15 The Monitoring Officer and / or the Chief Finance Officer may veto any request for call-in if it falls outside the remit of this scheme.

7.37.16 Save in exceptional circumstances all members of a Scrutiny Committee requesting a matter be called in must attend the meeting at which the matter is being considered.

7.37.17 For the avoidance of doubt a Call-In remains valid even if one or more of the members who have signed the Call-in Request do not attend the Scrutiny Meeting at which the Call-in is debated.

Call-In and Urgency

7.38.1 The call-in procedure set out in Rule 7.37 above shall not apply where the decision being taken is urgent. A decision will be urgent if:

7.38.1.1 any delay likely to be caused by the call-in process would seriously prejudice the Council's or other public interests; and

7.38.1.2 the Head of Paid Service and / or the Monitoring Officer and / or the Chief Finance Officer certifies in writing that any delay likely to be caused by the call-in process could seriously prejudice the Council, or the public interest; and

7.38.1.3 the chair of the relevant Scrutiny Committee agrees in writing to the decision being treated as a matter of urgency. In the absence of the Scrutiny chair then either the Scrutiny vice chair or the chair of Council may agree to the decision being treated as a matter of

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urgency; and

7.38.1.4 the record of the decision, and notice by which it is made public, shall state that the decision is an urgent one, and that the urgency of the matter has been approved by the Head of Paid Service and / or the Monitoring Officer and / or the Chief Finance Officer and by the chair of the relevant Scrutiny Committee or Scrutiny vice-chair or the chair of Council.

7.38.2 Decisions taken as a matter of urgency can be implemented forthwith but must be reported at the next available meeting of the relevant Scrutiny Committee, together with the reasons for urgency.

Joint Chairs and Vice-Chairs Steering Group – Scrutiny and Democratic Services Committees (“the Steering Group”).

Role, Scope and Membership.

7.39

7.39.1 Membership: Chairs and Vice-Chairs of the following committees:
People Scrutiny Committee;
Place Scrutiny Committee;
Audit Committee;
Democratic Services Committee.

For the sake of clarity the Chair of the Local Service Board / Public Service Board Scrutiny Committee will not be a member of the Joint Chairs steering Group.

7.39.2 Chair : Elected annually in rotation by the Steering Group from the Chairs of the Committees detailed in “Membership” above.

7.39.3 Vice-Chair: Elected annually in rotation by the Steering Group from the Chairs of the Committees detailed in “Membership” above.

7.39.4 Meetings: Meetings of the Steering Group will be held bi-monthly. Additional meetings of the Steering Group can be called with the consent of the Chair.

7.39.5 Notes of Meetings: Notes of the meetings of the Steering Group will be considered by the Scrutiny Committees and the Democratic Services Committees.

Terms of Reference:

7.40 The Steering Group will:

- 7.40.1 co-ordinate the work programmes of the Scrutiny Committees;
- 7.40.2 assess potential items for their suitability for a scrutiny review, and allocate those items if suitable to the appropriate scrutiny committee for review;
- 7.40.3 consider items referred from the Scrutiny Committees;
- 7.40.4 ensure the co-ordination of the Scrutiny Committees’ Work

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- Programmes with the Cabinet Work Programme;
- 7.40.5 receive a summary report on the progress being made by scrutiny working groups in relation to their reviews;
- 7.40.6 discuss with the Chief Executive and Strategic Directors / Directors any items for inclusion on Scrutiny Committees' Work programmes;
- 7.40.7 undertake an annual review of the Draft One Powys Plan and make recommendations to the Cabinet;
- 7.40.8 undertake an annual review of the draft budget proposals and make recommendations to the Cabinet;
- 7.40.9 review the Cabinet's Statement of Intent and make recommendations to the Cabinet;
- 7.40.10 review the draft Annual Governance Statement and make recommendations;
- 7.40.11 review as appropriate the performance evaluation grids which form the basis of the draft Annual Improvement Report;
- 7.40.12 review the draft Annual Improvement Report and make recommendations to the Cabinet;
- 7.40.13 consider the Wales Audit Office Annual Improvement Report and consider any matters for inclusion in the Scrutiny Committees' Work Programmes;
- 7.40.14 to ensure in conjunction with the Local Service Board Scrutiny Committee that there is no duplication of work between the County Council scrutiny committees, the Local Service Board Scrutiny Committee and any other joint scrutiny arrangements with other authorities;
- 7.40.15 such other matters which relate to or affect the operation of the Scrutiny Committees.

Finance Scrutiny Panel.

Role, Scope and Membership.

7.41

- 7.41.1 **Membership:** The Panel should be no larger than 10 Members to include the following:
- Chairs of the scrutiny committees (excluding the PSB Scrutiny Committee).
- Leaders of the Opposition groups i.e. those political groups which are not represented on the Cabinet.
- Representatives from the Audit Committee one of which should be the Independent "Lay" Member.
- 7.41.2 **Chair :** The Chair of the Audit Committee will chair the Panel.
- 7.41.3 **Vice-Chair:** The Vice-Chair of the Audit Committee will be the Vice-Chair of the Panel.
- 7.41.4 **Meetings:** Meetings of the Panel will be held monthly. Additional

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- 7.41.5 Reports by the Panel: meetings of the Panel can be called with the consent of the Chair.
The Panel will make reports on its findings to the Cabinet and where necessary Full Council.

Terms of Reference:

7.42 The Panel will:

- 7.42.1 assist with the delivery of the plans to support change and the Medium Term Financial Strategy to inform policy changes, and providing robust challenge and accountability;
- 7.42.2 assist the managed transition between the current spread of service provision and the services most likely to be provided by the Council in the medium to long term;
- 7.42.3 analyse the relationship between performance and spend with emphasis on the outcomes intended to be achieved by a particular service in the context of what may be considered affordable;
- 7.42.4 consider the robustness of the evidence base upon which programmes of change are predicated;
- 7.42.5 provide a constructive environment for reasoned, detailed discussions about changes in an independent and impartial setting;
- 7.42.6 review and scrutinise:
- Assumptions underlying the budget strategy;
 - The Medium Terms Financial Strategy / Finance Resource Model;
 - Budget Assumptions;
 - Annual Local Government Settlement and any legislative changes affecting local government;
 - Draft Budget and Impact Assessments;
 - Risk Register;
 - Financial Monitoring;
- 7.42.7 review and scrutinise where appropriate change plans by individual services particularly where those plans relate to high cost / risk areas of service;
- 7.42.8 provide evidence based recommendations to the Cabinet on its findings;
- 7.42.9 develop a forward work programme based on the budget timetable and the Council's medium term financial strategy;

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Councillor Call for Action

- 7.43.1 The Councillor Call for Action is a mechanism for enabling Councillors to bring matters of local concern to the attention of the Council via the Scrutiny process. It should be an option of "last resort".
- 7.43.2 Any Councillor may request that an item is placed on the agenda of the relevant Scrutiny Committee for consideration.
- 7.43.3 The procedure for dealing with a Call for Action is set out in the Councillor

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Call for Action - Guidance for Councillors attached to this section of the Rules at 7.42 to 7.46

Councillor Call for Action - Guidance for Councillors

Introduction

- 7.44.1. The Local Government Wales Measure 2011 introduced a number of new provisions aimed at strengthening local democracy. Section 63 of the Measure introduced a provision for “Councillor Calls for Action” (CCfA) which enables Councillors to refer issues of local importance to Scrutiny Committees .
- 7.44.2 CCfAs are intended to enable local Councillors and their electors to obtain a response from their Council Leadership on issues of local importance. CCfAs should be regarded as one of a series of tools which Councillors have at their disposal to resolve local issues and make a positive difference in their community. Previously in Wales, only local Crime and Disorder issues could be referred by the local Councillor to the designated Place Scrutiny Committee for action and it should be noted that these local crime and disorder referrals will remain in place under separate legislation.
- 7.44.3 As part of their community leadership role, Councillors have always attempted to resolve issues on behalf of their local residents and CCfAs provide an additional avenue for Councillors to follow if the normal ways of resolving an issue have not been successful and the issue meets the criteria for a referral. It should be noted that a referral under this process should be seen as a last resort after all other avenues have been exhausted.
- 7.44.4 CCfAs have been introduced alongside other powers for scrutiny, including powers to scrutinise a wide range of bodies not previously subject to local authority scrutiny. CCfAs are intended to enable any Councillor to refer to a Scrutiny Committee, “a local government matter” which falls within the Scrutiny Committee’s remit.

How Should I Normally Attempt to Resolve a Local Issue in My Area?

- 7.44.5 Local issues can be resolved in a number of ways by Councillors on behalf of their residents as listed in the Welsh Government’s Statutory Guidance from the Local Government Measure 2011:
- 7.44.5.1 informal discussions with Officers or other Councillors;
 - 7.44.5.2 informal discussions with partner representatives;
 - 7.44.5.3 referral to other “scrutiny” bodies such as Community Health Councils or internal audit committee;
 - 7.44.5.4 formal discussions with Officers and Councillors;
 - 7.44.5.5 formal letters to the Cabinet members;
 - 7.44.5.6 asking questions at Full Council;
 - 7.44.5.7 submitting a motion to Full Council;
 - 7.44.5.8 organising public meetings;
 - 7.44.5.9 use of petitions;
 - 7.44.5.10 making a complaint;

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- 7.44.5.11 freedom of information requests;
- 7.44.5.12 communication with local AMs or MPs;
- 7.44.5.13 use of social media or email based campaigns.

7.44.6 This is not an exhaustive list and Councillors may choose different routes for specific issues. If an issue has not been resolved after exhausting all possible alternative routes, then a local Councillor can refer it to the appropriate Scrutiny Committee as a CCfA.

What is a Councillor Call For Action?

- 7.44.7.1 In order for a Scrutiny Committee to accept a CCfA as an agenda item for discussion at one of their meetings, the issue must affect either all or part of a Councillor's electoral area or it must affect someone who lives or works in that area and come within that Scrutiny Committee's remit.
- 7.44.7.2 A Councillor does not however need a referral from a constituent in order to start the process. It is important to recognise that a CCfA is not guaranteed to solve a given problem, though it can provide a method for discussing such problems and, through discussion, attempt to overcome them.

How and When Should I Make a CCfA?

- 7.44.8.1 A flowchart showing the process is provided at Rule 7.45. A Councillor may initiate the process by completing the form at Rule 7.46. Further copies are available from the Scrutiny Manager. It is important that the local Councillor specifies what outcome is expected from the referral. After completion the form should be returned to the Scrutiny Manager who will log and acknowledge the referral within five working days, to track its progress and forward a copy of the form to the Monitoring Officer.
- 7.44.8.2 The Monitoring Officer will confirm whether or not the referral satisfies the requirements outlined in Rule 7.42.9 below to enable it to be placed on the agenda for discussion at a meeting of the relevant Scrutiny Committee. The Monitoring Officer reserves the right to exclude from the agenda any matter which is vexatious, discriminatory or otherwise potentially unlawful; and the Councillor will be informed of this outcome as soon as practicable.

Criteria To Be Followed By A Scrutiny Committee Dealing With CCfAs

- 7.44.9 It is up to the chair of a Scrutiny Committee in consultation with the Monitoring Officer to decide whether, and in what form, to take the matter further. The chair will use the following criteria to decide whether or not the referral is appropriate to be considered by its Scrutiny Committee :
 - 7.44.9.1 does the matter fall within the remit of that Scrutiny Committee?
NB: Crime and Disorder referrals should be directed to the Place Scrutiny Committee.
 - 7.44.9.2 is that Scrutiny Committee satisfied that all reasonable attempts have been made to resolve the issue by the local Councillor? Do the responses received by the referring Councillor demonstrate that the matter is not being progressed?
 - 7.44.9.3 has that Scrutiny Committee considered a similar issue recently?
If so, have the circumstances or evidence changed?

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- 7.44.9.4 is there a similar or related issue which is the subject of a review on the current work programme? It may be more appropriate to link the new issue to an existing review, rather than hold a separate CCfA hearing. Relevant time pressures on resolving the CCfA should be taken into account.
- 7.44.9.5 have all relevant service areas or partner organisations been informed and been given enough time to resolve the issue? What response has the Councillor received?
- 7.44.9.6 is this a case that is being or should be pursued via the Council's corporate complaints procedure?
- 7.44.9.7 is it relating to a "quasi-judicial" matter or decision such as planning or licensing?
- 7.44.9.8 is the matter an issue of genuine local concern which impacts on the local community rather than a personal matter?
- 7.44.9.9 is this an issue currently being looked at by another form of external scrutiny?
- 7.44.9.10 and, as with all scrutiny, does the matter have the potential for scrutiny to produce recommendations which could realistically be implemented and lead to improvements for anyone living or working in the Councillor's electoral division?
- 7.44.10 If a Scrutiny Committee decides not to accept the CCfA it must inform the Councillor of the decision and the reasons for it.
- 7.44.11 If a Scrutiny Committee decides to accept the CCfA the Councillor will be informed and advised of the agreed Protocol, e.g. the Councillor will be given adequate notice (a minimum of 10 clear days) of the date of the Scrutiny Committee's meeting. The Councillor will be requested to attend the Scrutiny Committee and informed that s/he will have five minutes in which to address the Scrutiny Committee. The Scrutiny Committee may then wish to question the Councillor further before deciding how it intends to take the matter forward. This could include:
- 7.44.11.1 asking the relevant responsible authorities to respond to the CCfA;
- 7.44.11.2 setting up a research or task and finish group to undertake a more in-depth review;
- 7.44.11.3 asking for further evidence and/or witnesses to be brought to a future meeting. The Scrutiny Committee has the power to request "designated persons"⁷ such as representatives from other public bodies/agencies to attend, where relevant, and to request information.

Potential Outcomes From a CCfA

- 7.45.1 A Scrutiny Committee could:
- 7.45.1.1 determine that it is a complex issue that requires further investigation and commission a scrutiny review of the issue;
- 7.45.1.2 write a response and make recommendations on the CCfA to a

⁷ The Welsh Government have yet to publish a list of "designated persons".

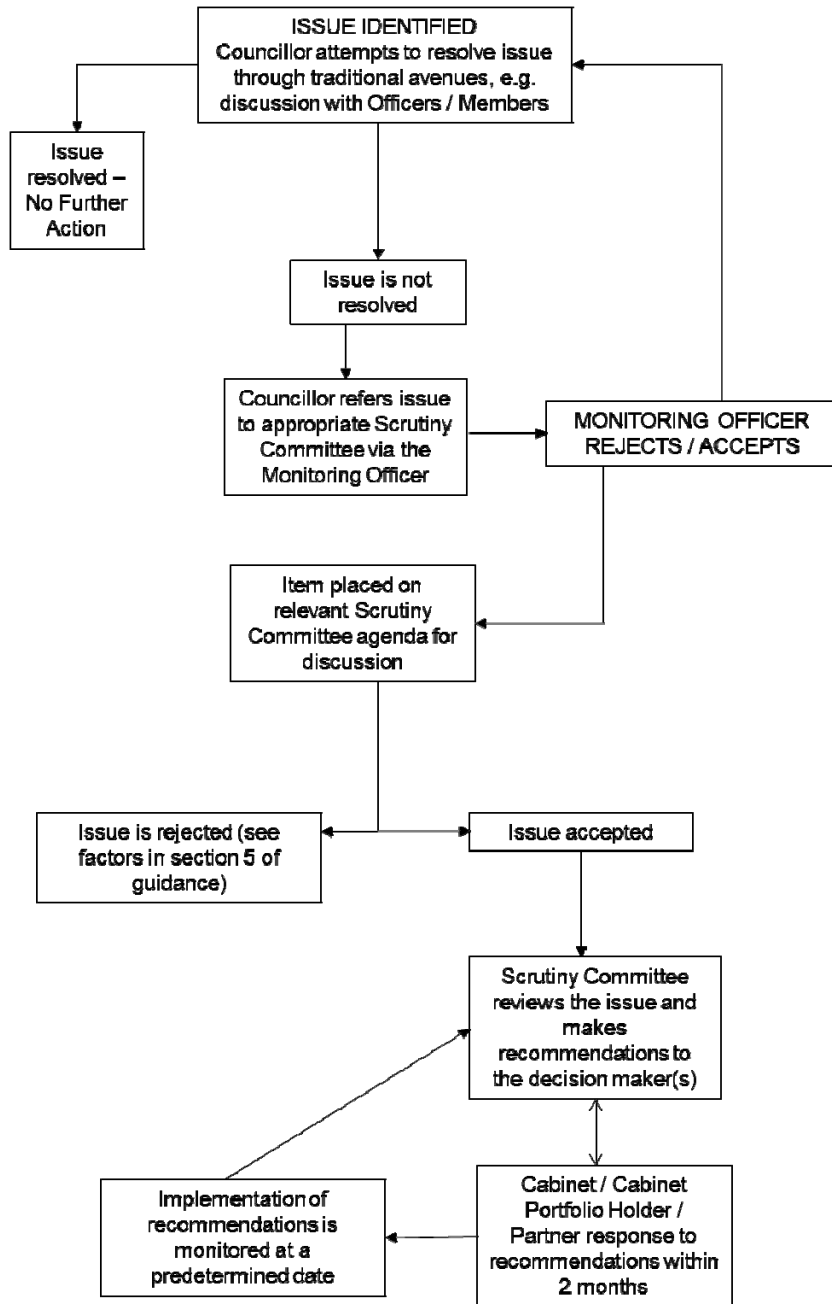
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- relevant responsible authority;
- 7.4351.3 decide that further action is not appropriate giving its reasons.
- 7.45.2 Once a Scrutiny Committee has completed its work, the Councillor who made the referral will receive a copy of any response or recommendations made.

Timescales for Dealing With a CCfA

- 7.46.1 Within 10 working days of receipt of a CCfA the chair of the relevant Scrutiny Committee will consult with the Monitoring Officer to determine if the criteria set out in Rule 7.42.9 above have been met so as to ensure that it is appropriate for the CCfA to be dealt with at the next meeting of the Scrutiny Committee.
- 7.46.2 In exceptional circumstances, for example where there are unavoidable time constraints, the chair may convene a special meeting of the Scrutiny Committee.
- 7.46.3 Should a CCfA result in recommendations to the Cabinet or other responsible authorities, they will be requested to make a response to the recommendations within 28 days and two months respectively.
- 7.46.4 The Scrutiny Committee will monitor implementation of any recommendations as part of its Forward Work Programme.
- 7.47

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**Councillor Call for Action Referral Form
7.48**

Name of Scrutiny Committee	
Date given to the Monitoring Officer	
Name of Councillor making CCfA	Councillor
Councillor's Electoral Division	
Councillor's Address	
Councillor's Telephone	
Councillor's E-mail	
SUBJECT of CCfA	
Details Please briefly explain what the issue is and how it affects either all or part of your electoral area, or how it affects someone who lives or works in your electoral division.	
Action taken to date Please explain what steps you or others have taken, and with whom, to try to resolve the issue (please tick the actions you or others have taken to date) or add additional actions.	<input type="checkbox"/> Informal discussions with Officers or other councillors <input type="checkbox"/> Informal discussions with partner representatives <input type="checkbox"/> Referral to other "scrutiny" bodies such as Community Health Councils or internal audit committee <input type="checkbox"/> Formal discussions with Officers and councillors <input type="checkbox"/> Formal letters to the Cabinet members <input type="checkbox"/> Asking questions at Full Council <input type="checkbox"/> Submitting a motion to Full Council <input type="checkbox"/> Organising public meetings <input type="checkbox"/> Use of petitions <input type="checkbox"/> Making a complaint <input type="checkbox"/> Freedom of Information requests <input type="checkbox"/> Communication with local AMs or MPs <input type="checkbox"/> Use of social media or email based campaigns

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	Other Actions (Please Specify).
Expected Outcome Please describe the outcome you hope to gain via this referral.	
Papers attached Please list documents attached which should evidence the impact of the issue, the steps taken and any responses received.	1. 2. 3. 4. 5. 6.

Administration only.

Date received by Monitoring Officer	
Date CCfA accepted by Monitoring Officer	
Date of Next Scrutiny Committee Meeting	

Notes for Councillors:

7.48.1 The following criteria will be taken into consideration when a Scrutiny Committee decide whether to progress with your CCfA:

- 7.48.1.1 have all reasonable attempts been made to resolve the issue? Do the responses received by you demonstrate that the matter is not being progressed?
- 7.48.1.2 has the committee considered a similar issue recently – if yes have the circumstances or evidence changed?
- 7.48.1.3 is there a similar or related issue which is the subject of a review on the current work programme? It may be more appropriate to link the new issue to an existing review, rather than hold a separate CCfA hearing. Relevant time pressures on resolving the CCfA should be taken into account.

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- 7.48.1.4 have all relevant service areas or partner organisations been informed and been given enough time to resolve the issue? What response have you received?
 - 7.48.1.5 is this a case that is being or should be pursued via the Council's corporate complaints procedure?
 - 7.48.1.6 is it relating to a "quasi-judicial" matter or decision such as planning or licensing?
 - 7.48.1.7 is the matter an issue of genuine local concern, which impacts on the local community rather than a personal matter?
 - 7.48.1.8 is this an issue currently being looked at by another form of external scrutiny?
 - 7.48.1.9 and, as with all scrutiny, does the matter referred have the potential for scrutiny to produce recommendations, which could realistically be implemented and lead to improvements for anyone living or working in your electoral division.
- 7.48.2.1 Consider whether your referral might be considered premature by the Scrutiny Committee .
- 7.48.2.2 Consider whether other potential remedies have been exhausted, before a referral is made.
- 7.48.3 Members should be aware that if a premature referral is made, the Committee is likely to refuse to deal with the issue, based on the criteria outlined above. If the Monitoring Officer believes that the referral is premature, s/he will advise you accordingly.

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Appendix 1 – "Call-In Request"

**CALL-IN OF LEADER / CABINET / CABINET COMMITTEE /
PORTFOLIO HOLDER DECISION - REQUEST FORM.**

**CALL-IN REQUEST FOR A MATTER TO BE CALLED-IN BY A SCRUTINY
COMMITTEE.**

TO: The Monitoring Officer.

I County Councillor _____ Chair of the

People Scrutiny Committee.	YES / NO
Place Scrutiny Committee.	YES / NO

We 5 County Councillors being Members of the

People Scrutiny Committee.	YES / NO
Place Scrutiny Committee.	YES / NO

Request the call in of the decision referred to in Schedule 1 (Attached) to be reviewed by the Committee of which [I am Chair] [We are Members].

I / We confirm that the matter is one which falls within the remit of the Committee of which [I am Chair] / [We are Members].

I / We make this request on the ground(s) following written advice from the Monitoring Officer and / or Chief Finance Officer (Attached at Schedule 2):

- (i) that the decision or action is contrary to the policy framework or budget, or falls outside the functions of the Cabinet; and / or
- (ii) that the Cabinet or decision maker had not followed agreed procedures on consultation before reaching its decision; and / or
- (iii) that the Cabinet had not followed, or had failed to take account of, any legal obligations, including regulations or statutory guidance governing the Council's actions, or other guidance adopted by the Council.

Date: _____

Name:	Signature:

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IMPORTANT NOTE TO CHAIRS AND MEMBERS OF A SCRUTINY COMMITTEE:

If you consider that a Cabinet decision falls within one or more of the categories set out above it is your responsibility to obtain from the Monitoring Officer and / or the Chief Finance Officer their written confirmation that he / she / they agree with your view and that their written advice is appended to this request for a Call-In.

This form must be wholly completed – including Schedules 1 and 2 and must be received by the Monitoring Officer **by no later than 5 p.m. on the 5th Working Day following publication of the Cabinet decision.**

Thus by way of example where (as is usually the case) a Cabinet decision is published on a Thursday this completed form must be received by the Monitoring Officer by no later than 5 p.m. on Thursday of the following week. Where a bank holiday Monday intervenes then this deadline will be extended to 5 p.m. on the Friday of the following week.

Chairs / Members are particularly asked to note that incomplete forms **WILL NOT** be accepted nor will those received after 5 p.m. on the 5th day. No exception whatsoever will be made to this rule.

This process applies to all decisions relating to “Cabinet Functions” and so applies equally to decisions of the Leader, the Cabinet a Cabinet Committee or any decision by an individual Portfolio Holder.

PLEASE NOTE the following exceptions which apply to a Call-In Request:

In order to ensure that call-in is not abused or causes undue delay, certain limitations are to be placed on its use. These are:

- (i) that a scrutiny committee may only call-in 5 decisions per year.
- (ii) only decisions involving expenditure or reduction in service over a value of £25,000 may be called-in.
- (iii) five members of a scrutiny committee are needed for a decision to be called-in.
- (iv) once a member has signed a request for a call-in s/he may not do so again until a period of 6 months has expired.
- (v) the decision has not been determined to be urgent and not subject to a Call-In.

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SCHEDULE 1.

TO BE COMPLETED BY THE CHAIR OR 5 MEMBERS REQUESTING THE CALL-IN.

1.	Leader / Cabinet / Cabinet Committee / Individual Portfolio Holder Decision To Be Called-In <i>(Please include Date of Meeting and Agenda Reference Number):</i>

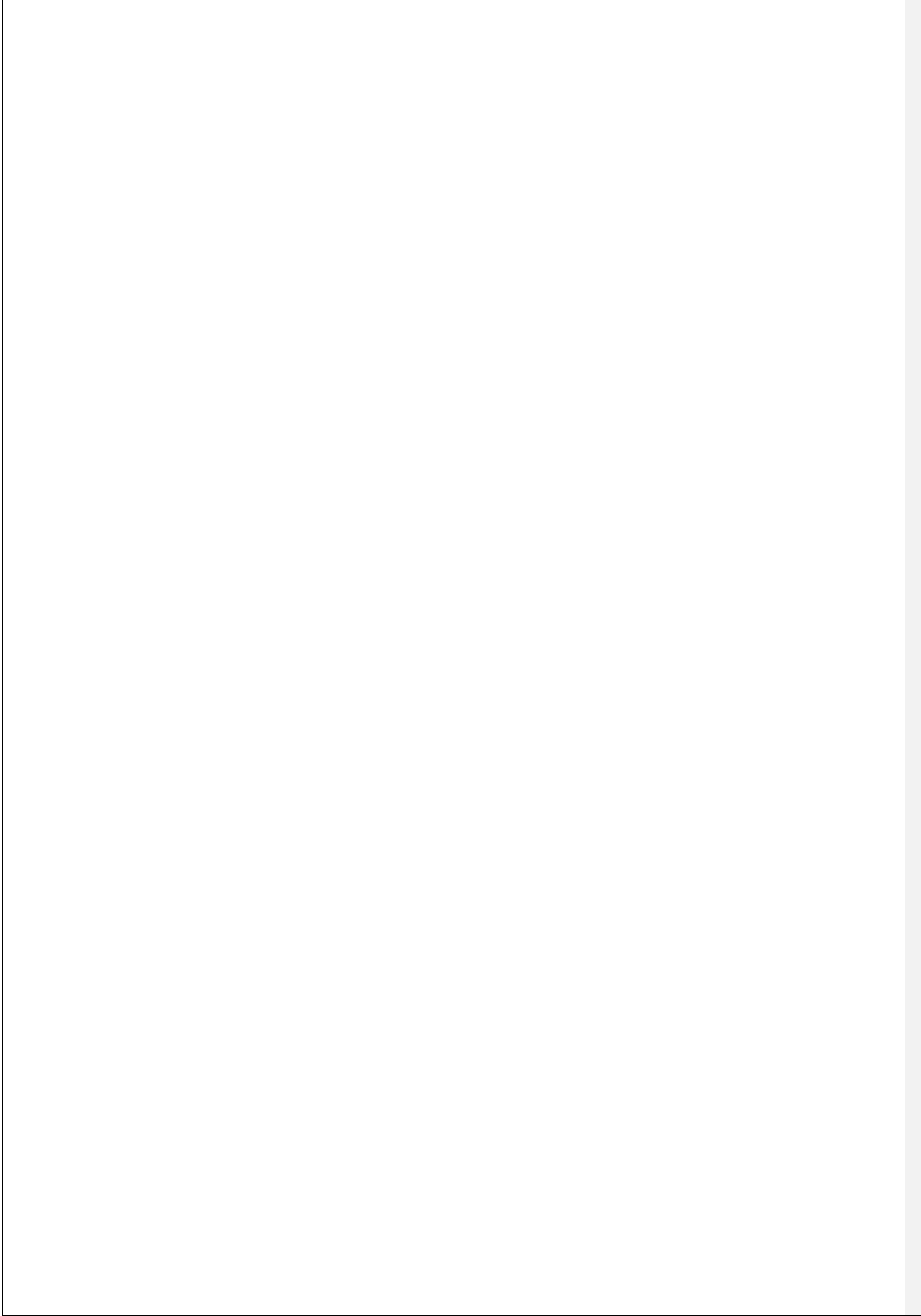
2. Reason for Call-In:

2.1 What is the reason for the Call-In Request. Please tick which of the conditions which you believe apply:

(i)	that the decision or action is contrary to the policy framework or budget, or falls outside the functions of the Cabinet; and / or	
(ii)	that the Cabinet or decision maker had not followed agreed procedures on consultation before reaching its decision; and / or	
(iii)	that the Cabinet had not followed, or had failed to take account of, any legal obligations, including regulations or statutory guidance governing the Council's actions, or other guidance adopted by the Council.	

2.2 Please provide an explanation in the box below as to why you believe that the conditions in 2.1 above apply.

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(Please continue on a separate sheet if necessary)

SCHEDULE 2.

TO BE COMPLETED BY THE MONITORING OFFICER AND CHIEF FINANCE OFFICER.

1. Chief Finance Officer's Advice.

Chief Finance Officer's Advice on the Call-In Request.

(Please continue on a separate sheet if necessary)

Date: _____

Signature: _____

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2. Monitoring Officer's Advice.

Monitoring Officer's Advice on the Call-In Request.

(Please continue on a separate sheet if necessary)

Date: _____

Signature: _____

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FOR INTERNAL USE ONLY:

(a)	Date of Request to Call-In Decision (as above):	
(b)	Date of Cabinet Decision:	
(c)	Is Date of Request within 5 Working Days of Cabinet Decision:	YES / NO
(d)	Does the matter fall within the remit of the Scrutiny Committee?	YES / NO
(e)	Does the Chief Finance Officer's advice support a Call-In Request:	YES / NO
(f)	Does the Monitoring Officer's advice support a Call-In Request:	YES / NO
(g)	Signature(s) of Chair or 5 Members of Relevant Committee included:	YES / NO
(h)	Call-In Notice to be Issued:	YES / NO

(i)	Date of Committee Meeting to consider Call-In (within 10 working days of issue of notice):	
(j)	Name(s) of Relevant Cabinet Portfolio Holders to be Invited to Attend Meeting:	
(k)	Details of Strategic Directors / Heads of Service to be Invited to Attend Committee:	
(l)	Cabinet Portfolio Holders / and Strategic Directors / Heads of Service Advised of Committee Meeting (<i>insert date</i>):	YES / NO
(m)	Questions and Checklist prepared for the Committee:	YES / NO

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Appendix 2 – “Call-In Notice”

TO BE COMPLETED BY THE MONITORING OFFICER AND CHIEF FINANCE OFFICER.

1. Chief Finance Officer.

1.1 Please tick which of the conditions which you believe apply for a “Call-In Notice” to be issued:

(i)	that the decision or action is contrary to the policy framework or budget, or falls outside the functions of the Cabinet; and / or	
(ii)	that the Cabinet or decision maker had not followed agreed procedures on consultation before reaching its decision; and / or	
(iii)	that the Cabinet had not followed, or had failed to take account of, any legal obligations, including regulations or statutory guidance governing the Council’s actions, or other guidance adopted by the Council.	

Please set out the reasons why it is believed that one or more of the conditions are satisfied:

(Please continue on a separate sheet if necessary)

Date: _____

Signature: _____

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2. Monitoring Officer.

2.1 Please tick which of the conditions which you believe apply for a “Call-In Notice” to be issued:

(i)	that the decision or action is contrary to the policy framework or budget, or falls outside the functions of the Cabinet; and / or	<input type="checkbox"/>
(ii)	that the Cabinet or decision maker had not followed agreed procedures on consultation before reaching its decision; and / or	<input type="checkbox"/>
(iii)	that the Cabinet had not followed, or had failed to take account of, any legal obligations, including regulations or statutory guidance governing the Council’s actions, or other guidance adopted by the Council.	<input type="checkbox"/>

Please set out the reasons why it is believed that one or more of the conditions are satisfied:

(Please continue on a separate sheet if necessary)

Date: _____

Signature: _____

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